

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
DI-5717

In Re Application Of: **Watkins et al.**

Serial No.  
09/871,863

Filing Date  
June 1, 2001

Examiner  
Unknown

Group Art Unit  
Unknown

Title:

**HEMODIALYZER HEADER**

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

\_\_\_\_\_) on \_\_\_\_\_  
(Date)

*Signature*

*Typed or Printed Name of Person Signing Certificate*

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 6/15/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.97 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

**Robert J. Buccieri**

*Typed or Printed Name of Person Mailing Correspondence*

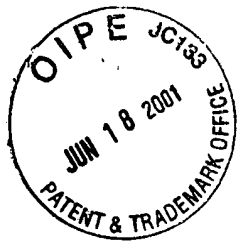
**\*This certificate may only be used if paying by deposit account.**

Dated: June 15, 2001

*Signature*

**Robert M. Barrett (30,142)**  
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CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

APPLICANT: Watkins et al. DOCKET NO.: DI-5717  
SERIAL NO: 09/871,863 ART UNIT: Unknown  
FILED: June 1, 2001 EXAMINER: Unknown  
INVENTION: "HEMODIALYZER HEADER"

Assistant Commissioner for Patents

Washington, D.C. 20231

S I R:

In accordance with the provisions of 37 C.F.R. 1.56, Applicants request that a citation and examination of the references identified below and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-mentioned application for United States Patent.

U.S. PATENTS

<u>Patent Number</u>	<u>Inventor</u>	<u>Publication Date</u>
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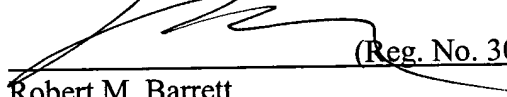
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6,113,782	Leonard	September 5, 2000
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<u>Patent Number</u>	<u>Country</u>	<u>Publication Date</u>
98/22161	WIPO	May 28, 1998
0 968 730	Europe	January 5, 2000

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

  
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